



PATENT
Attorney Docket No. INK-110

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Amundson CONFIRMATION NO.: 7924
SERIAL NO.: 10/607,703 GROUP NO.: 2871
FILING DATE: June 27, 2003 EXAMINER: Not Yet Assigned
TITLE: ILLUMINATION SYSTEM FOR NONEMISSIVE ELECTRONIC
DISPLAYS

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 13th day of April, 2004.

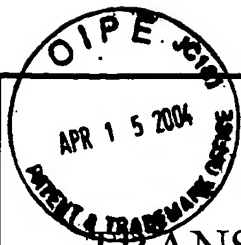

Sandra Allen

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Submitted herewith is/are:

1. Transmittal Form (1 pg);
2. Associate Power of Attorney (1 pg);
3. Information Disclosure Statement (2 pgs);
4. Form PTO-1449 (3 pgs);
5. Copies of cited references labelled as A1-A6, B1-B4, and C1-C4; and a
6. Return Receipt Postcard.



TRANSMITTAL FORM

| | |
|---------------------------|------------------|
| Application Serial Number | 10/607,703 |
| Filing Date | June 27, 2003 |
| First Named Inventor | Amundson |
| Group Art Unit | 2871 |
| Examiner Name | Not Yet Assigned |
| Attorney Docket No. | INK-110 |
| Patent No. | Not applicable |
| Issue Date | Not applicable |

ENCLOSURES (check all that apply)

| | | |
|--|---|---|
| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Check Attached <input type="checkbox"/> Copy of Fee Transmittal Form <input type="checkbox"/> Amendment/Response <input type="checkbox"/> Preliminary <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____] <input type="checkbox"/> Petition for Extension of Time <input checked="" type="checkbox"/> Information Disclosure Statement (2 pgs) <input checked="" type="checkbox"/> Form PTO-1449 (3 pgs) <input checked="" type="checkbox"/> Copies of IDS Citations (A1-A6, B1-B4, and C1-C4) <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Sequence Listing submission <input type="checkbox"/> Paper Copy/CD <input type="checkbox"/> Computer Readable Copy <input type="checkbox"/> Statement verifying identity of above | <input type="checkbox"/> Copy of Notice to File Missing Parts of Application <input type="checkbox"/> Formal Drawing(s) <input type="checkbox"/> Request For Continued Examination (RCE) Transmittal <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> CD(s) for large table or computer program <input type="checkbox"/> Amendment After Allowance <input type="checkbox"/> Request for Certificate of Correction <input type="checkbox"/> Certificate of Correction (in duplicate) | <input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences <input type="checkbox"/> Appeal Brief (in triplicate) <input type="checkbox"/> Status Inquiry <input checked="" type="checkbox"/> Return Receipt Postcard <input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8 (1 pg) <input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8 <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below) <input checked="" type="checkbox"/> Associate Power of Attorney (1 pg) |
|--|---|---|

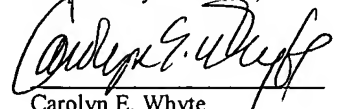
CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator
Testa, Hurwitz & Thibault, LLP
High Street Tower
125 High Street
Boston, MA 02110
Tel. No.: (617) 248-7000
Fax No.: (617) 248-7100

SIGNATURE BLOCK

Date: April 13, 2004
Reg. No. 55,728
Tel. No.: (617) 310-8078
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Respectfully submitted,


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Attorney Docket No. INK-110

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Amundson CONFIRMATION NO.: 7924
SERIAL NO.: 10/607,703 GROUP NO.: 2871
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TITLE: ILLUMINATION SYSTEM FOR NONEMISSIVE ELECTRONIC
DISPLAYS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. Copies of the patents and publications labeled as A1-A6, B1-B4, and C1-C4 are enclosed.

REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

- ☒ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- ☐ the requisite Statement is below, **OR**
- ☐ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or

- ☐ (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee**, **AND**
- ☐ the requisite Statement is below, **AND**
- ☐ the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

STATEMENT

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that [check the appropriate space only if either (2) or (3) is checked on the previous page and the Statement is required]:

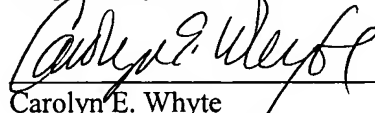
- ☐ 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Date: April 13, 2004
Reg. No. 55,728

Tel. No.: (617) 310-8078
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3035177

Respectfully submitted,


Carolyn E. Whyte
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125 High Street
Boston, Massachusetts 02110

FORM PTO - 1449

INFORMATION DISCLOSURE
STATEMENT

APR 15 2004

ATTY DOCKET NO: INK-110 (2108 / 83)

APPLICANT: Amundson

SERIAL NO.: 10/607,703

FILING DATE: June 27, 2003

GROUP:

U.S. PATENT DOCUMENTS

| Exam. Init. | | Patent ID | Issue Date | Inventor | Filing Date |
|-------------|----|--------------|------------|-------------------|-------------|
| | A1 | 5,067,021 | 11/19/91 | Brody, Thomas P. | 7/21/88 |
| | A2 | 5,194,852 | 3/16/93 | More et al. | 6/16/89 |
| | A3 | 5,930,026 | 7/27/99 | Jacobson et al. | 10/25/96 |
| | A4 | 5,975,680 | 11/2/99 | Wen et al. | 2/5/98 |
| | A5 | 6,130,773 | 10/10/00 | Jacobson et al. | 11/10/98 |
| | A6 | 6,376,828 B1 | 4/23/02 | Comiskey; Barrett | 10/7/99 |

EXAMINER

DATE CONSIDERED

FORM PTO - 1449

INFORMATION DISCLOSURE
STATEMENT

ATTY DOCKET NO: INK-110 (2108 / 83)

APPLICANT: Amundson

SERIAL NO.: 10/607,703

FILING DATE: June 27, 2003

GROUP:

FOREIGN PATENT DOCUMENTS

| Exam. Init. | | Patent ID | Issue Date | Country Code | Filing Date | Abstract Only? | English Language? | Only Abstract In |
|-------------|----|-------------|------------|--------------|-------------|--------------------------|-------------------------------------|--------------------------|
| | B1 | WO 00/20923 | 4/13/00 | WO | 10/7/99 | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| | B2 | WO 98/19208 | 5/7/98 | WO | 10/17/97 | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| | B3 | WO 99/41732 | 8/19/99 | WO | 2/17/99 | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| | B4 | WO 99/41788 | 8/19/99 | WO | 2/17/99 | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> |

EXAMINER

DATE CONSIDERED

FORM PTO - 1449

APR 1 5 2004

ATTY DOCKET NO: INK-110 (2108 / 83)

INFORMATION DISCLOSURE
STATEMENT

APPLICANT: Amundson

SERIAL NO.: 10/607,703

FILING DATE: June 27, 2003 GROUP:

OTHER ART, JOURNAL ARTICLES, ETC.

Exam. Init.

OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)

| | |
|----|---|
| C1 | Comiskey et al.; 7.4L: Late-News Paper: Electrophoretic Ink: A Printable Display Material; 1/1/97; 75-76; SID 97 Digest |
| C2 | Drzaic et al; A Printed and Rollable Bistable Electronic Display; 1/1/98; 1131-34; 1998 SID International Symposium, Digest of Technical Papers; 29 |
| C3 | Saitoh et al.; A Newly Developed Electrical Twisting Ball Display; 1/1/82; 249-53; Proceedings of the SID; 23(4) |
| C4 | Shiwa et al.; 5.6: Electrophoretic Display Method Using Ionographic Technology; 1/1/88; 61-62; SID 88 Digest |

EXAMINER

DATE CONSIDERED

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